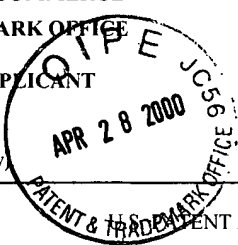


| FORM PTO-1449 (REV. 7-80) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO.: 53470.000039 | | SERIAL NO.: 09/455,534 | | | | | | | |
|---|----|--|---|--------------------------------------|---|---------------------------|---|---|-------|------------------------|-------|----------|----------------|
| LIST OF MATERIALS CITED BY APPLICANT (Use several sheets if necessary) | | | | INVENTOR'S NAME: Zirngibl, et al. | | EXAMINER: Unknown | | | | | | | |
| | | | | FILING DATE: December 7, 1999 | | GROUP: 2748 | | | | | | | |
|  | | | | | | | | | | | | | |
| Group 2700 | | | | | | | | | | | | | |
| *EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
| (E) | A | 5 | 7 | 5 | 1 | 7 | 9 | 0 | 05/98 | Makihata | | | |
| (E) | B | 4 | 8 | 1 | 1 | 3 | 7 | 9 | 03/89 | Grandfield | | | |
| (E) | C | 5 | 5 | 2 | 4 | 0 | 5 | 1 | 06/96 | Ryan | | | |
| (E) | D | 5 | 7 | 5 | 1 | 8 | 0 | 6 | 05/98 | Ryan | | | |
| (E) | E | 5 | 8 | 0 | 9 | 4 | 1 | 5 | 09/98 | Rossmann | | | |
| (E) | F | 5 | 8 | 3 | 8 | 2 | 5 | 2 | 11/98 | Kikinis | | | |
| (E) | G | 6 | 0 | 1 | 4 | 4 | 2 | 9 | 01/00 | LaPorta, et al. | | | |
| (E) | H | 5 | 9 | 7 | 0 | 1 | 2 | 2 | 10/99 | LaPorta, et al. | | | |
| (E) | I | 4 | 5 | 5 | 4 | 4 | 1 | 8 | 11/85 | Toy | | | |
| (E) | J | 5 | 9 | 9 | 6 | 0 | 0 | 6 | 11/99 | Speicher | | | |
| (E) | K | 4 | 9 | 4 | 2 | 6 | 1 | 6 | 07/90 | Linstroth, et al. | | | |
| (E) | L | 5 | 4 | 7 | 9 | 4 | 9 | 1 | 12/95 | Herrero Garcia, et al. | | | |
| (E) | M | 5 | 1 | 8 | 7 | 7 | 3 | 5 | 02/93 | Herrero Garcia, et al. | | | |
| (E) | N | 4 | 7 | 8 | 8 | 6 | 4 | 3 | 11/88 | Trippe, et al. | | | |
| (E) | O | 5 | 9 | 4 | 8 | 0 | 4 | 0 | 09/99 | DeLorme, et al. | | | |
| (E) | P | 5 | 7 | 3 | 2 | 3 | 9 | 8 | 03/98 | Tagawa | | | |
| (E) | Q | 5 | 2 | 1 | 4 | 6 | 8 | 9 | 05/93 | O'Sullivan | | | |
| (E) | R | 6 | 0 | 0 | 3 | 0 | 0 | 9 | 12/99 | Nishimura | | | |
| (E) | S | 5 | 9 | 7 | 0 | 1 | 2 | 4 | 10/99 | Csaszar, et al. | | | |
| (E) | T | 5 | 5 | 7 | 7 | 1 | 6 | 5 | 11/96 | Takebayashi, et al. | | | |
| (E) | U | 5 | 9 | 8 | 3 | 1 | 8 | 4 | 11/99 | Noguchi | | | |
| (E) | V | 6 | 0 | 2 | 1 | 1 | 8 | 1 | 02/00 | Miner, et al. | | | |
| (E) | W | 5 | 7 | 9 | 9 | 0 | 6 | 3 | 08/98 | Krane | | | |
| (E) | X | 5 | 4 | 4 | 4 | 7 | 6 | 8 | 08/95 | Lemaire, et al. | | | |
| (E) | Y | 6 | 0 | 1 | 4 | 4 | 2 | 7 | 01/00 | Hanson, et al. | | | |
| (E) | Z | 5 | 7 | 1 | 2 | 9 | 0 | 1 | 01/98 | Meermans | | | |
| (E) | AA | 5 | 7 | 3 | 7 | 3 | 9 | 3 | 04/98 | Wolf | | | |
| (E) | BB | 5 | 7 | 5 | 7 | 6 | 4 | 4 | 05/98 | Jorgensen, et al. | | | |
| (E) | CC | 5 | 7 | 1 | 5 | 3 | 7 | 0 | 02/98 | Luther, et al. | | | |
| (E) | DD | 6 | 0 | 1 | 4 | 4 | 2 | 8 | 01/00 | Wolf | | | |
| (E) | EE | 5 | 7 | 7 | 1 | 2 | 7 | 6 | 06/98 | Wolf | | | |
| (E) | FF | 5 | 7 | 9 | 3 | 9 | 8 | 0 | 08/98 | Glaser, et al. | | | |
| (E) | GG | 5 | 7 | 8 | 1 | 8 | 8 | 6 | 07/98 | Tsujiuchi | | | |
| (E) | HH | 5 | 7 | 8 | 7 | 1 | 5 | 1 | 07/98 | Nakatsu, et al. | | | |

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|---|----|--|---|--------------------------------------|---|---------------------------|---|---|-----------------|------------------|-------|----------|----------------|
| FORM PTO-1449 (REV. 7-80) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO.: 53470.000039 | | SERIAL NO.: 09/455,534 | | | | | | | |
| LIST OF MATERIALS CITED BY APPLICANT (Use several sheets if necessary) | | | | INVENTOR'S NAME: Zirngibl, et al. | | EXAMINER: Unknown | | | | | | | |
| | | | | FILING DATE: December 7, 1999 | | GROUP: 2748 | | | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | |
| *EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
| (M) | II | 5 | 9 | 2 | 6 | 7 | 8 | 9 | 07/99 | Barbara, et al. | | | |
| (M) | JJ | 6 | 0 | 1 | 8 | 7 | 1 | 0 | 01/00 | Wynblatt, et al. | | | |
| (M) | KK | 5 | 8 | 1 | 9 | 2 | 2 | 0 | 10/98 | Sarukkai, et al. | | | |
| (M) | LL | 4 | 7 | 5 | 7 | 5 | 2 | 5 | 07/88 | Matthews, et al. | | | |
| (M) | MM | 5 | 9 | 1 | 5 | 0 | 0 | 1 | 06/99 | Uppaluru | | | |
| (M) | NN | 6 | 0 | 0 | 9 | 3 | 8 | 3 | 12/99 | Mony | | | |
| (M) | OO | 5 | 8 | 8 | 4 | 2 | 6 | 6 | 03/99 | Dvorak | | | |
| (M) | PP | 5 | 8 | 8 | 4 | 2 | 6 | 2 | 03/99 | Wise, et al. | | | |
| (M) | QQ | 4 | 8 | 6 | 8 | 8 | 6 | 6 | 09/89 | Williams, Jr. | | | |
| (M) | RR | 5 | 7 | 2 | 1 | 8 | 2 | 7 | 02/98 | Logan, et al. | | | |
| (M) | SS | 5 | 7 | 9 | 0 | 9 | 3 | 6 | 08/98 | Dinkins | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | | |
| | | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
| (M) | TT | 0 | 8 | 8 | 9 | 6 | 2 | 7 | 01/99 | Europe | | | |
| (M) | UU | 0 | 8 | 7 | 8 | 9 | 4 | 8 | 11/98 | Europe | | | |
| OTHER MATERIALS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | | | | | | |
| (M) | VV | Kilmartin, et al., Development of an interactive voice response system for a GSM SMS based share price server, DSP '97 Conference Proceedings, 12/97, Abstract | | | | | | | | | | | |
| (M) | WW | Friel, et al., An automated stock price delivery system based on the GSM short message service, ICC'98 1998 IEEE International Conference on Communications, 1998, Abstract | | | | | | | | | | | |
| (M) | XX | Kilmartin, et al., Real time stock price distribution utilising the GSM short messaging service, 1997 IEEE International Conference on Personal Wireless, 1997, Abstract | | | | | | | | | | | |
| (M) | YY | System for telephone access to internet applications - uses dial tones and/or voice with interactive voice response unit to pass request to processor that converts requests to communication protocol command set, IBM, Patent No. RD 98412088, 7/20/98, Abstract | | | | | | | | | | | |
| EXAMINER | | | | | | | | | DATE CONSIDERED | | | | |
| | | | | | | | | | 4-29-02 | | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | | | | | | |